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<u>L6</u>	(5495608 5649181)![PN]	4	<u>L6</u>
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File: USPT

Aug 11, 1998

US-PAT-NO: 5794232

DOCUMENT-IDENTIFIER: US 5794232 A

**** See image for Certificate of Correction ****

TITLE: Catalog services for distributed directories

DATE-ISSUED: August 11, 1998

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FIELD-OF-SEARCH: 395/610, 395/603, 395/614, 395/282.4, 395/200.09, 395/800, 707/10, 707/103, 364/282.1, 364/284.4, 364/242.94, 364/222.81, 364/222.82, 364/280, 364/283.4

PRIOR-ART-DISCLOSED:

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9411830	May 1994	WO	

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ART-UNIT: 271

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ABSTRACT:

A method for representing in a database selective objects and data in a distributed directory is disclosed. A distributed directory is accessed. The directory is searched for selective objects and selective associated attributes that satisfy predetermined criteria. One or more records are created to correspond to each selective object satisfying the criteria. Data relating to each selective object is entered into the corresponding record. The records and data are then stored in a database. Preferably, the database is a hierachal database having sub-records, wherein each sub-record corresponds to a selective associated attribute of the selective object.

35 Claims, 4 Drawing figures

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L12: Entry 49 of 57

File: USPT

Aug 11, 1998

DOCUMENT-IDENTIFIER: US 5794232 A

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TITLE: Catalog services for distributed directories

Abstract Text (1):

A method for representing in a database selective objects and data in a distributed directory is disclosed. A distributed directory is accessed. The directory is searched for selective objects and selective associated attributes that satisfy predetermined criteria. One or more records are created to correspond to each selective object satisfying the criteria. Data relating to each selective object is entered into the corresponding record. The records and data are then stored in a database. Preferably, the database is a hierachal database having sub-records, wherein each sub-record corresponds to a selective associated attribute of the selective object.

Brief Summary Text (2):

The present invention relates generally to the management of objects in a distributed directory, and will be specifically disclosed in connection with a method for representing in a database objects and data in a distributed directory.

Brief Summary Text (11):

Accordingly, an object of this invention is to provide an improved method for searching for objects and data in a distributed directory.

Brief Summary Text (12):

An additional object of this invention is to provide an rapid method for searching for objects and data in a distributed directory.

Brief Summary Text (13):

Another object of this invention is to provide a method for storing dynamic object data from a distributed directory in a database.

Brief Summary Text (14):

A further object of this invention is to provide a hierachal model within a database to encapsulate the object data of a distributed directory.

Brief Summary Text (15):

Yet another object of this invention is to provide a method for creating database records representing an object model of a distributed directory so that an operator can have quick access to the information in the database.

Brief Summary Text (16):

Still a further object of this invention is to provide an improved means for searching hierachal databases.

Brief Summary Text (18):

One embodiment of the present invention is a method for representing in a database object data from a distributed directory. The method comprises the following steps:

Brief Summary Text (19):

a) Accessing a distributed directory that includes a plurality of objects. Each of these objects has associated attributes and associated data.

Brief Summary Text (20):

b) Searching the distributed directory for selective objects and selective associated attributes that satisfy a predetermined criteria. Preferably, the predetermined criteria depends upon the type of object, the type of associated attribute, or associated data.

Brief Summary Text (21):

c) Creating a record for each selective object found in the search, wherein each record has a set of subordinate fields. The subordinate fields can include data fields and/or sub-records with their own set of subordinate fields. Preferably, a sub-record subordinate to the record is created for each selective associated attribute.

Brief Summary Text (22):

d) Entering associated data into the subordinate fields that corresponds to the various selective objects. Preferably, the data related to the selective objects is entered into the data fields corresponding to the object, and the data related to the selective associated attributes is entered into the subordinate fields corresponding to the sub-record.

Brief Summary Text (23):

e) Storing in memory the records, subordinate fields, and data in a database. Preferably, the database is a hierachal database. If a hierachal database is used, the query interface preferably comprises a mask that allows users to enter SQL queries to search the database.

Drawing Description Text (4):

FIG. 2 is a flowchart of a method for representing in a database selective object data from a distributed directory;

Drawing Description Text (5):

FIG. 3 shows an exemplar structure of a hierachal record for a database that represents an object in a distributed directory; and

Detailed Description Text (3):

One embodiment of the invention is directed towards NetWare Directory Services ("NDS"), which uses a distributed directory modeled from the X.500 network services protocol. The distributed directory comprises a plurality of objects, wherein each such object includes one or more associated attributes and associated values. NDS permits network administrators to create directory classes using default system attributes or attributes defined by the administrator. Each defined attribute must be based on a finite and defined set of syntaxes (i.e. data types), which syntaxes cannot be modified by the administrator. Using the total set of available attributes, an administrator could theoretically create a virtually infinite variety of customized classes to meet their needs.

Detailed Description Text (4):

Once the classes are defined, end-users who have been given the appropriate rights may create objects based on these classes, which objects are then entered into the distributed directory. Each object must contain all of the mandatory attributes defined by the class definition (which may be none), and each object may contain one or more of the optional attributes. Many attributes have a single value, while other attributes are defined as multi-valued attributes having one or more values (i.e. having multiple data elements). Once entered, users can view and edit objects, the associated attributes, and the values or data associated with the object.

Detailed Description Text (5):

One embodiment of the invention is designed to take these dynamic objects whose names, attributes syntaxes, and values that are distributed across the directory, and store them in a static structured database. In this form, searches may be performed on the database for rapid results without having to search through the distributed directory. Such an embodiment is particularly well suited for storing basic information on users, printers, queues, etc., which can act as a key (stores the distinguished name of each such object) linking the database information to the specific NDS objects.

Detailed Description Text (6):

The flowchart 10 shown in FIG. 2 demonstrates the steps for representing in a database the object data from a distributed directory. In step 11, the distributed directory is accessed. In step 12, the distributed directory is searched for selective objects and selective associated attributes satisfying predetermined criteria. This step of searching the distributed directory

is sometimes referred to as dredging. The dredge can be performed at any time or interval selected by a user.

Detailed Description Text (7):

Preferably, the predetermined criteria used in the dredge depends upon the type of object, the type of associated attribute, or the associated value. For instance, the dredge could be limited to all user objects having the associated attributes of Telephone Number and Facsimile Number. As another example, the dredge could be limited all attributes of user objects having the value "administrator" in the associate attribute Access Rights. As a further example, the dredge could search for all associated attributes of all objects, regardless of the data associated with the object.

Detailed Description Text (8):

In the next step 13, one or more records are created with each record including at least one subordinate field. Each such record corresponds to a selective object that satisfies the predetermined criteria of the dredge. The structure 20 shown in FIG. 3 illustrates such a record. For each selective DSObject (or directory services object) uncovered in the dredge, a record 30 is created. The record 30 includes a variety of associated fields 31-35. Since the record 30 contains subordinate fields, it is sometimes referred to as a parent record, and the subordinate fields 31-35 are referred to as child fields. Some of the fields are data fields 31-33 that relate to a single value. Other fields are sub-records 34, 35 having their own set of associate fields 34a-c, 35a-g, respectively. Such sub-records 34, 35 are referred to as child sub-records due to their subordination to the parent record 30. The fields of the child sub-records may be data fields, such as 34a-c and 35a-b, or sub-records like 35c. Preferably, the child sub-records 34, 35 correspond to dredged associated attributes of the dredged objects. If an attribute has a single data element, such as the attribute associated with the sub-record 34, the subordinate fields 34a-c will preferably comprise three data fields 34a-c. On the other hand, if an attribute has multiple data elements, such as the attribute associated with the sub-record 35, the fields 35a-g subordinate to the sub-record 35 will include two data fields 35a,b and a second sub-record 35c having its own set of subordinate fields 35d-35g.

Detailed Description Text (9):

Returning to FIG. 2, the next step 14 involves entering the values associated with the dredged objects into the subordinate fields of the records that correspond to the objects. In this particular embodiment of the record structure 20, certain object data related to DSObject is entered into the data fields 31-33. The value relating to the field DSObjectName 31 is the name of the object in the distributed directory. The value relating to the field DSBaseClass 32 is the name of the object's base class, which is used for providing queryable attributes of the object (e.g. a query for PRINTER objects). The value relating to the field DSCanonicalName is the key or address for linking the parent record back to the DSObject in the distributed directory.

Detailed Description Text (10):

For each child sub-record corresponding to an associated attribute, the data related to the object (specifically the values related with the attributes) are entered into subordinate fields 34a-c and 35a-g of the corresponding child sub-records 34 and 35, respectively. In this record structure 20, regardless of whether a sub-record has a second sub-record (like sub-record 35) or not (like sub-record 34), the sub-records include the subordinate data fields AttributeName 34a, 35a and AttributeSyntax 34b, 35b. The values entered into these fields relate to the name of the dredging attributes in the distributed directory. These values are defined by the class of the DSObject. The values entered into the field AttributeSyntax 35b, 35b relate to the directory services data type associated with the respective attribute.

Detailed Description Text (11):

The name of the third subordinate fields 34c, 35c become the name of the syntax for the corresponding attribute. The type of third field 34c, 35c depends upon whether the dredged attribute has single or multiple data elements. If the attribute has a single data element, such as the attribute associated with the sub-record 34, the third subordinate field 34c is a data field. As such, the data related to the attribute value is entered into the field 34c. On the other hand, if the attribute has multiple data elements, such as the attribute associated with the sub-record 35, the third field 35c is a second sub-record. The values related to the data elements of such an attribute are entered into the subordinate fields 35d-g, and the field names 35d-g correspond to the syntax member name for the data element. As shown here, the fields 35d-g are data fields, but any of these fields could have a third sub-record. As one

with ordinary skill in the art will readily appreciate, the levels of sub-records could be infinitely deep.

Detailed Description Text (12):

For the purposes of illustration, assume that a dredge has selected the DSObject representing John Bills. Also assume that the dredge selected only two associated attributes of the object: the Full Name and Fax Number. FIG. 4 shows one possible record structure 40 that would represent this DSObject. A parent record 50 is created that corresponds to the selective DSObject found in the dredge. Data 60 associated with the object is entered into data fields DSObjectName 51, DSBaseClass 52, and DSCanonicalName 53. These values include "John Bills" 61, "User" 62, and "CN=JBills.OU=NPD.OU=NDS.O=Novell" 63, respectively.

Detailed Description Text (13):

A child sub-record 54 is created to correspond to the associated attribute Full Name. Since this attribute has a single data element, the sub-record 54 includes three data fields 54a-c. The names of the fields are AttributeName 54a, AttributeSyntax 54b, and Case.sub.-- Ignore.sub.-- String 54c. The name of the third field 54c corresponds to the SyntaxName of the attribute. The values "Full Name" 64a, "3" 64b, and "Johnathon Bills" 64c, which are all related to the attribute, are entered into fields 54a-c, respectively.

Detailed Description Text (14):

A child sub-record 55 is created to correspond to the associated attribute Fax Number. Since this attribute has multiple data elements, the subordinate fields of the sub-record include two data fields 55a,b and one sub-record 55c. The field names for the first two fields are AttributeName 54a and AttributeSyntax 54b. The values "Fax Number" 65a and "11" 65b, which are related to with the attribute, are entered into the respective fields 54a,b. The name of the third field 55c is the name of the syntax, which is Facsimile.sub.-- Number. Similarly, the names of the subordinate data fields 55d are labeled with corresponding syntax member names, which in this example are FaxTelNumber 55d, FaxNumOfBits 55e, and FaxTelData 55f. Values related to the data elements of the attribute are entered for the data fields 55d-f, which in this example are "(801) 429-1234" 65d, "4" 65e, and "0X003AF61C" 65f, respectively.

Detailed Description Text (15):

In one embodiment of the invention, the steps of creating records 13 and entering data 14 are performed using nested while loops, as illustrated in the following pseudo-code:

Detailed Description Text (16):

Returning to FIG. 2, the next step 15 involves storing in memory the records, subordinate fields, and entered values in a database. This database is sometimes referred to as a catalog, which can be entered as an object in the distributed directory. In this embodiment, the catalog is a hierachal database, which is well suited to represent the hierachal nature of objects and associated attributes in NDS. While the catalog could also take the form of a relational database, a hierachal database is preferred in this embodiment, in part, because it uses relatively little memory compared to comparable relational databases. To reduce network traffic, it is preferred that the catalogs are kept on non-replicated partitions in the distributed directory, which will in turn prevent the catalogs from being replicated.

Detailed Description Text (17):

The next step 16 is not specifically directed towards representing object data in a database. Rather, this step 16 is directed towards using the catalog, which involves searching the catalog for objects. This can be achieved using standard database query tools, which has the marked advantage of being significantly quicker than a comparable query in a distributed directory. Once an object is found in the database, the user can access the data related to a selective object by selecting the record associated with the object to view the dredged information. Similarly, a user can access the DSObject in the distributed directory by selecting the record associated with the object, which access is possible through the field DSCanonicalName 33.

Detailed Description Text (18):

If the catalog is a hierachal database, a user can use a variety standard queries. As one with ordinary skill in the art will readily appreciate, current query mechanisms can be cumbersome in hierachal databases, which can require a cryptic set of restriction clauses that must be built one piece at a time. Furthermore, such queries can become extremely long such that a user cannot view the entire query at the same time which makes edits to the query difficult.

Detailed Description Text (19):

Ideally, a database query should be compatible with the Structured Query Language ("SQL"). SQL is well known and accepted in the art because its queries have English-like aspects, are viewable, and are editable, thus making SQL relatively simple to use. However, SQL is based on a relational database model making it incompatible with hierachal databases. One option is to translate a hierachal database into a relational database, which is row/column based, however, doing so is often impracticable because such a translation often requires prohibitive amounts of processing and memory.

Detailed Description Text (20):

One technique for blending the respective advantages of hierachal databases and SQL queries is to provide an SQL mask for the hierachal database, which provides an SQL-like interface that lets users send simple SQL queries to the hierachal database. One such mask takes the form of a series of Application Programming Interfaces ("API's"), which can be integrated into the front-end of a distributed directory using a variety of techniques, including Snap-In modules, Object Linking and Embedding ("OLE"), Dynamic Link Libraries ("DLL's"), or directly integrated into an application. One such set API's include the following functions:

Detailed Description Text (21):

CATOpenCatDB This function opens an existing catalog database and initializes the internal data structures. The only parameter is DbName, which is a character string containing the distinguished name of the catalog object in the distributed directory. This function returns a signed long indicating success or error codes.

Detailed Description Text (22):

CATCmd This function accepts a basic SQL statement, pareses it, and prepares the necessary data structures. The SQL syntax supported by this function are SELECT, which lists one or more attributes contained in the catalog, FROM, which normally applies to relational models only and is accepted as a null, and WHERE, which specifies field values and can include a variety of operators. The function will parse the SQL statement and save it in memory. The SELECT syntax is converted into a number corresponding to the specified attributes in a data dictionary. The FROM syntax is discarded. The WHERE syntax is translated into a hierachal restriction clause. The only parameter is a character string containing a basic SQL statement. The function returns a signed long indicating success or error codes.

Detailed Description Text (23):

CATBind This function binds the program variables to the returning values in the catalog, and is called once for every value a user wants to return. The parameters include nAttrColumn, which is an enumerated attribute number of the attribute name found in the SELECT clause (e.g. the first attribute is 1, the second attribute is 2, etc.), AttributeName, which is of the type CharStringPointer and has the functionality of limiting the instance of that data syntax to a specific attribute name, and pSyntax, which is a void pointer of the type nSyntaxId that points to the variable to be bound. This function returns a signed long indicating success or error codes.

Detailed Description Text (24):

CATRun This function executes the query in the catalog database and performs some housekeeping actions, such as resetting the database environment, setting-up memory structures, entering a session ID, etc. It has no parameters and returns a signed long indicating success or error codes.

Detailed Description Text (25):

CATNextRecord This function is used in conjunction with CATNextRow, both of which functions are designed to be nested in while loops. The function checks to see if there is any data matching the query criteria or if the data set that matched the criteria has completed. The first time this function is activated, it takes the criteria in the WHERE clause and determines if records exist. If so, the function moves the cursor to the first such record. Thereafter, this function translates the parent-child relationship of attributes and values into a column/row format. The relationship between parent and child is preserved by binding the value with the attribute name. The function requires no parameters and returns a signed long indicating success or error codes.

Detailed Description Text (26):

CATNextRow This function brings back the next row of data from the database and places it in the bound program variables specified by CATBind. Because some sub-records are capable of having 1 to n values, during many of the interactions the bound variables (attributes) will return NULL values while one or two of the variables will always have data. The function requires no parameters and returns a signed long indicating success or error codes.

Detailed Description Paragraph Table (2):

```
int32 someFunction
() int32 rCode; char* sSQL = "select DSCanonicalName, DSBaseClass, Case.sub.-- Ignore.sub.-- String.backslash.from cn=mycat.ou=catdept . ou=mydiv . o=mycomp any.backslash. where DSBaseClass = `Queue`"; DN.sub.-- T sCanonicalName, sBaseClass; NWDSCATATTRIBUTE *sFullName; if ( (rCode = NWDSCATOpenCatDB ("cn=mycat.ou=catdept.ou=mydiv.o=mycompany")) != DS.sub.-- CAT.sub.-- NO.sub.-- ERR). return ( rCode ); if ( (rCode = NWDSCATCmd(sSQL)) != DS.sub.-- CAT.sub.-- NO.sub.-- ERR) return( rCode ); if ( (rCode = NWDSCATBind(1, NULL, &sCaononicalName)) != DS.sub.-- CAT.sub.-- NO.sub.-- ERR) return( rCode ); if( (rCode = NWDSCATBind(2, NULL, &sBaseClass)) != DS.sub.-- CAT.sub.-- NO.sub.-- ERR) return ( rCode ); if (rCode = NWDSCATBind(3, "Full Name", &sFullName)) != DS.sub.-- CAT.sub.-- NO.sub.-- ERR) return ( rCode ); if ( (rCode = NWDSCATRun()) != DS.sub.-- CAT.sub.-- NO.sub.-- ERR ) return( rCode ); while ( NWDSCATMoreData() != DS.sub.-- CAT.sub.-- NO.sub.-- MORE.sub.-- DATA ) { while ( NWDSCATNextRow() != DS.sub.-- CAT.sub.-- NO.sub.-- MORE.sub.-- ROWS) { /* user code to handle returned data */ } } }
```

Other Reference Publication (2):

Bruce Lindsay, Object Naming and Catalog Management for a Distributed Database Manager, The 2nd International Conference on Distributed Computing Systems, IEEE 1981, CH1591-7.

Other Reference Publication (13):

Andreas Paepcke, An Object-Oriented View Onto Public, Heterogeneous Text Databases, 1993, 9th International Conference on Data Engineering, IEEE, 1063-6382.

Other Reference Publication (14):

Herman C. Rao and Larry L. Peterson, Accessing Files in an Internet: The Jade File System, 1993, IEEE Transactions on Software Engineering, vol. 19, No. 6.

Other Reference Publication (15):

Joann J. Ordille and Barton P. Miller, Distributed Active Catalogs and Meta-Data Caching in Descriptive Name Services, 1993, The 13th International Conference on Distributed Computing Systems, IEEE Computer Society Press, 0-8186-3770-6/93..

Other Reference Publication (19):

Daniel L. Silver, James W. Hong and Michael A. Bauer, X.500 Directory Schema Management, 1994, The 10th International Conference on Data Engineering, IEEE.

CLAIMS:

1. A method in a computer system for representing in a database objects and data from a distributed directory, the method comprising the steps of:

- a) accessing a distributed directory on a plurality of networked computers for managing identities associated with the network, said distributed directory comprising a plurality of objects with each object including associated data;
 - b) creating one or more records with each record including at least one subordinate field, wherein each such record corresponds to an object;
 - c) entering at least a portion of the associated data into one or more subordinate fields of at least one record, wherein the entered associated data relates to the object that corresponds to each record; and
 - d) storing the records, subordinate fields, and entered associated data in a local database.
3. A method as recited in claim 2, wherein the database is a hierachal database.

5. A method as recited in claim 4, wherein the entered associated data at least partially includes attribute data related to one or more associated attributes, said attribute data being entered into the subordinate fields of the sub-record Mat corresponds to each associated attribute.

6. A method as recited in claim 1, wherein at least one subordinate field of one or more records is a data field, wherein each such data field is subordinate to at least one record.

7. A method as recited in claim 6, wherein the associated data at least partially includes object data related to one or more objects, said object data being entered into the data fields of the record that corresponds to each object.

8. A method as recited in claim 1, further comprising the step of accessing associated data related to an object by selecting from the database the record associated with the object.

9. A method as recited in claim 1, further comprising the step of accessing an object in the distributed directory by selecting from the database the record associated with the object.

10. A method in a computer system for representing in a database selective objects and data from a distributed directory, the method comprising the steps of:

a) accessing a distributed directory on a plurality of networked computers for managing identities associated with the network, said distributed directory comprising a plurality of objects, each such object including one or more associated attributes and associated values;

b) searching the distributed directory for selective objects and selective associated attributes satisfying predetermined criteria;

c) creating one or more records with each record including at least one subordinate field, wherein each such record corresponds to a selective object;

d) entering associated values into one or more subordinate fields of at least one record, wherein the associated values being entered relates to the selective object that corresponds to each record; and

e) storing the records, subordinate fields, and entered associated values in a local database.

11. A method as recited in claim 10, wherein the database is a hierachal database.

14. A method as recited in claim 12, wherein at least one subordinate field of one or more sub-records is a second sub-record with each second sub-record including at least one subordinate field, wherein each such sub-record corresponds to a selective associated attribute that includes multiple data elements, wherein each such second sub-record is subordinate to at least one sub-record.

15. A method as recited in claim 14, wherein the associate values being entered at least partially includes element data related to least one element, which element data is entered into the subordinate fields of the second sub-record subordinate to the sub-record that corresponds to each selective associated attribute that includes multiple data elements.

16. A method as recited in claim 10, wherein at least one subordinate field of one or more records is a data field, wherein each such data field is subordinate to at least one record.

17. A method as recited in claim 16, wherein the associated values being entered at least partially includes object data related to one or more selective objects, said object data being entered into the data fields of the record that corresponds to each selective object.

20. A method as recited in claim 10, firer comprising the step of accessing associated values related to a selective object by selecting from the database the record associated with the selective object.

21. A method as recited in claim 10, firer comprising the step of accessing a selective object in the distributed directory by selecting from the database the record associated with the selective object.

22. A method in a computer system for representing in a database selective objects and data from a distributed directory, the method comprising the steps of;

a) accessing a distributed directory on a plurality networked computers for managing identities associated with the network, said distributed directory comprising a plurality of objects, each such object including one or more associated attributes and associated values;

b) searching the distributed directory for selective objects and selective associated attributes satisfying predetermined criteria;

c) creating a record including a plurality of subordinate fields, wherein the record corresponds to a selective object, wherein the subordinate fields comprise:

(i) one or more data fields; and

(ii) one or more sub-records with each sub-record including one or more subordinate fields, wherein each sub-record corresponds to a selective associated attribute;

d) entering associated values into the subordinate fields, wherein the associated values being entered relates to the selective object,

e) repeating steps (c) and (d) for a plurality of selective objects; and

f) storing the records, subordinate fields, and entered associated values in a local hierachal database.

26. A method as recited in claim 2, wherein at least one subordinate field a sub-record is a second sub-record including one or more subordinate fields, wherein the sub-record corresponds to a selective associated attribute that includes multiple data elements, wherein the second sub-record is subordinate to the sub-record.

27. A method as recited in claim 26, wherein the associated values being entered at least partially includes element data related to an element of the selective associated attribute, which element data is entered into a subordinate field of the second sub-record.

28. A method as recited in claim 22, wherein the associated values being entered at least partially includes object data related the selective object, said object data being entered into a data field.

29. A method as recited in claim 28, wherein the object data relates to the DSObjectName, DSBaseClass, and DSCanonicalName of the selective object.

32. A method as recited in claim 22, further comprising the step of accessing associated values related to a selective object by selecting from the database the record associated with the selective object.

33. A method as recited in claim 22, further comprising the step of accessing a selective object in the distributed directory by selecting from the database the record associated with the selective object.

34. A record in a database embodied in computer-readable media for representing an object in a distributed directory, said record comprising:

a) one or more subordinate data fields in a local database with each such data field corresponding to data that relates to an object in a distributed directory on a plurality of networked computers for manage identities associated with the network, said object having associated attributes and data;

b) one or more subordinate sub-records with each such sub-record corresponding to an associated attribute of the object, said sub-records including at least one subordinate field that corresponds to a value related to the associated attribute.

35. A record as recited in claim 34, wherein at least one subordinate field of a sub-record

includes a second sub-record that includes a plurality of subordinate fields, said second sub-record corresponding to an associated attribute of the object having multiple data elements.

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